

Supplemental Online Content

Gelissen H, de Grooth H-J, Smulders Y, et al. Effect of low-normal vs high-normal oxygenation targets on organ dysfunction in critically ill patients: a randomized clinical trial. *JAMA*. doi:10.1001/jama.2021.13011

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This supplemental material has been provided by the authors to give readers additional information about their work.

eAppendix 1. Data Collection and Study Procedures

Step 1: Identification of eligible patients meeting all the inclusion criteria

- Age ≥ 18 years
- ≥ 2 positive SIRS-criteria:
 - Temperature $> 38^{\circ}\text{C}$ or hypothermia $< 36^{\circ}\text{C}$
 - Heart rate > 90 bpm
 - Respiratory rate > 20 /min or $\text{pCO}_2 < 32$ mmHg (4.3 kPa)
 - Number of leucocytes $> 12 \times 10^9/\text{L}$ or $< 4 \times 10^9/\text{L}$ or $> 10\%$ bands
- Within 12 hours of admittance to the ICU
- Expected stay of more than 48 hours as estimated by the attending physician

Step 2: Identification of patients meeting any of the exclusion criteria

- Elective surgery
- Carbon monoxide poisoning
- Cyanide intoxication
- Methemoglobinemia
- Sickle cell anemia
- Severe pulmonary arterial hypertension (WHO class III or IV)
- Known severe ARDS ($\text{PaO}_2/\text{FIO}_2 \leq 100$ mmHg and $\text{PEEP} \geq 5$ H₂O)
- Known cardiac right to left shunting
- Pregnancy
- Severe COPD (Gold class III or IV) or other severe chronic pulmonary disease

Step 3: Randomization, blinding and treatment allocation

Randomization was performed with the use of a randomization list generated by a web-based computer program. Castor EDC (electronic data capture system) <https://www.castoredc.com/electronic-data-capture-system/>.

Step 4: Consent procedures

- Deferred consent assumed
- Deferred consent by patient representative
- Obtain routine clinical data (demographic characteristics, reason of admission, comorbidity, APACHE II)

For this study we initially assumed deferred consent and we appeal to the emergency procedure for consent in medical research as stated in article 6, paragraph 4 of the Dutch WMO.

The motivation for assumed deferred consent was as follows: Oxygen suppletion is applied to almost all ICU-patients. To alleviate respiratory distress oxygen therapy is initiated and cannot be postponed. Patients admitted to an Intensive Care Unit (ICU) are mostly incompetent to give informed consent. Obtaining informed consent from a legal representative takes time (on average up to 12 hours), even by an experienced research team. Oxygenation targets according to randomization were applied immediately.

Informed consent from the legal representative was requested as soon as possible thereafter, but never later than 24 hours after randomization. If informed consent was not obtained within those 24 hours, or if a legal representative denies participation within this time frame, the patient was excluded and data was no longer be used. The patient was oxygenated according to the policy of the attending physician.

During hospital admission, we attempted to achieve informed consent from the patients themselves. If this was not possible (*for example* due to incomplete neurological or physical recovery, or due to early transfer to another hospital) we sent a letter to the patients to inform them that we would use the data and blood samples obtained

during the study unless they denied this by telephone or email. To patients who did not recover sufficiently to understand the letter and take a considered decision about the study we did not send such a letter.

Subjects could be withdrawn from the study at any time upon request without any consequences. The investigator could also decide to withdraw a subject from the study for urgent medical reasons.

Step 5: Study procedures

- Titration of FIO₂, based on measured PaO₂
 - group low-normal PaO₂: target PaO₂ at ICU of 75 (60 – 90) mmHg (8-12 kPa)
 - group high-normal PaO₂: target PaO₂ at ICU of 120 (105 – 135) mmHg (14-18 kPa)
- Blood sample collection at baseline and on day 2, and 4 for determination of parameters of oxidative stress and tissue/organ perfusion (in total 40 ml extra for study). Remaining blood material after analysis was stored for additional analyses in the future.

Data collection was also done using Castor EDC.

The following data were collected:

- Inclusion related data:
 - Inclusion criteria
 - Exclusion criteria
 - Stratification data
 - Randomization data
 - Consent data
- Chronic diagnoses at admission
 - Chronic renal failure
 - Chronic dialysis
 - Malignancy (metastatic)
 - Hematologic malignancy
 - AIDS
 - Immunological failure (immune compromised)
 - Diabetes with medication
 - Heart failure (NYHA IV)
 - Chronic obstructive pulmonary disease with medication
 - Cirrhosis of the liver
 - Recent myocardial infarction (< 6 months)
- Acute diagnoses at admission
 - CPR within 24 hours of admission
 - Trauma within 24 hours of admission
 - Stroke within 24 hours of admission
 - Life threatening hemorrhage within 24 hours of admission
 - Suspected pneumonia
 - Suspected peritonitis or abdominal infection
 - Suspected soft tissue infection
 - Suspected systemic infection
- Daily registration from day 1 to 15
 - Day and time of admission
 - Time of start of study
 - Mechanical ventilation
 - Oxygen administration
 - Number of blood gas samples
 - Number of blood gas samples with PaO₂ outside of target range

- Protocol compliance
- Lowest PaO₂/FIO₂ ratio
- Use of NO (Nitric oxide) or extracorporeal life support
- Lowest mean arterial pressure
- Highest dose of norepinephrine for at least one hour
- Highest dose of epinephrine for at least one hour
- Dobutamine use for at least one hour
- Enoximone use for at least one hour
- Use of cardiac assist device
- SOFA laboratory values
- SOFA clinical observation
- Use of renal replacement therapy
- Dismissal from ICU to general ward
- Deceased?
- Description of chest X-ray with oxygenation and ventilatory data
- Follow up
 - Consent obtained
 - Remained on ICU > 24 hours
 - Dismissal (alive) within 3 month from ICU
 - Date and time of dismissal to general ward
 - Mechanical ventilation on ICU
 - Date and time of intubation
 - Successfully weaned and extubated within one month of inclusion (no reintubation within 48 hours)
 - CK and maximum CK-MB
 - Antibiotic therapy for suspected infection
 - Date of start antibiotics
 - Fever or hypothermia before start of antibiotics
 - Leucocytosis or leucopenia before start of antibiotics
 - Deceased within 3 months of inclusion
 - Registration of SAE
 - Start renal replacement therapy > 24 hours after admission to ICU
 - Start of cardiac assist device > 24 hours after admission to ICU
 - Prone ventilation
 - New myocardial infarction on ICU
 - New hepatic failure on ICU
 - New stroke on ICU

Step 6: Primary and secondary endpoints

These are all described in the main article.

Step 7: Handling and storage of data and documents

Patient data have been stored anonymously. Data will not be directly traceable to the individual patients, as all patients are coded. The key to the code is separately safeguarded by the primary investigator. Data will be stored for 15 years.

eAppendix 2. Example Calculations of the Primary Outcome SOFA_{RANK}

To calculate the primary outcome SOFA_{RANK}, the daily total SOFA score minus the baseline SOFA score was summed over the first 14 study days. Discharge was counted (from the day of discharge forward) as a score of 0 minus baseline score and death was counted (from the day of death forward) as a maximum score of 20 minus baseline score. The resulting cumulative daily delta score was used to rank participants from fast organ failure improvement (lowest scores) to worsening organ failure and death (highest scores).

In the example cases below, patient C had the best outcome (fastest organ failure resolution, lowest SOFA_{RANK} score), followed in rank order by patients A, B and D.

Patient A					Patient B				
	Study day	SOFA score	SOFA score adjusted	SOFA score adjusted minus baseline		Study day	SOFA score	SOFA score adjusted	SOFA score adjusted minus baseline
	<i>Baseline</i>	5	5			<i>Baseline</i>	5	5	
	1	5	5	0		1	6	6	1
	2	3	3	-2		2	6	6	1
	3	6	6	1		3	9	9	4
	4	3	3	-2		4	9	9	4
	5	2	2	-3		5	8	8	3
	6	6	6	1		6	8	8	3
	7	6	6	1		7	5	5	0
	8	5	5	0		8	6	6	1
	9	5	5	0		9	7	7	2
	10	4	4	-1		10	11	11	6
	11	8	8	3		11	9	9	4
	12	5	5	0		12	7	7	2
	13	7	7	2		13	7	7	2
	14	7	7	2		14	7	7	2
SOFArank score				2					35
Patient C					Patient D				
	Study day	SOFA score	SOFA score adjusted	SOFA score adjusted minus baseline		Study day	SOFA score	SOFA score adjusted	SOFA score adjusted minus baseline
	<i>Baseline</i>	6	6			<i>Baseline</i>	4	4	
	1	6	6	0		1	4	4	0
	2	7	7	1		2	4	4	0
	3	8	8	2		3	4	4	0
	4	1	1	-5		4	3	3	-1
	5	2	2	-4		5	6	6	2
	6	1	1	-5		6	11	11	7
	7	0	0	-6		7	15	15	11
	8	1	1	-5		8	Deceased	20	16
	9	0	0	-6		9	Deceased	20	16
	10	Discharged	0	-6		10	Deceased	20	16
	11	Discharged	0	-6		11	Deceased	20	16
	12	Discharged	0	-6		12	Deceased	20	16
	13	Discharged	0	-6		13	Deceased	20	16

	14	Discharged	0	-6		14	Deceased	20	16
SOFArank score				-58					131

eAppendix 3. Definitions of Predefined Adverse Events

- Death
- Kidney replacement therapy for acute kidney failure
- Severe respiratory failure necessitating prone ventilation (at the discretion of the treating physician)
- New myocardial infarction according to the ESC/AHA Third Universal Definition of Myocardial Infarction
- New liver failure, defined as new hyperbilirubinemia (> 50 mmol/L) or other signs of severe acute liver dysfunction (INR > 1.5 , hepatic encephalopathy)
- New ischemic or hemorrhagic stroke

eAppendix 4. Adjustment of the Effect Estimate on the Primary Endpoint by Stratification Variables

As a post-hoc analysis, the primary outcome was adjusted for the effect by site, reason of admittance (medical, surgical, or trauma), age category (< 50, 50 - 70, and > 70 years) and gender.

The regression output below show the linear regression estimate of SOFAR_{Rank} (primary endpoint) as a function of randomized group allocation *without* adjustment for stratification variables.

Linear model of SOFAR_{Rank} as a function of group allocation

Residuals:

Min	1Q	Median	3Q	Max
-210.007	-100.119	1.993	90.421	209.546

Coefficients:

	Estimate	Std. Error	t value	Pr(> t)
(Intercept)	211.007	8.048	26.22	<2e-16 ***
groupHigh PaO ₂	-21.553	11.527	-1.87	0.0622 .

The estimates are in rank units. Patients randomized to the high-normal PaO₂ group had SOFA outcomes that were on average 21.5 ranks lower (better) than those randomized to the low-normal PaO₂ group (p=0.062). This analysis is practically equivalent to the Wilcoxon rank-sum test, which yielded p=0.063.

The regression output below show the mixed effects linear regression estimate of SOFAR_{Rank} (primary endpoint) as a function of randomized group allocation *with* adjustment for stratification variables (included in the model as random effects).

Linear mixed effects model of SOFAR_{Rank} as a function of (fixed effect) group and (random effects) site, reason for admission, age category and gender.

REML estimator

Scaled residuals:

Min	1Q	Median	3Q	Max
-1.82240	-0.86881	0.01729	0.78466	1.81840

Random effects:

Groups	Name	Variance	Std.Dev.
site	(Intercept)	0	0.0
incl_rvo	(Intercept)	0	0.0
incl_agecat	(Intercept)	0	0.0
gender_male	(Intercept)	0	0.0
Residual		13279	115.2

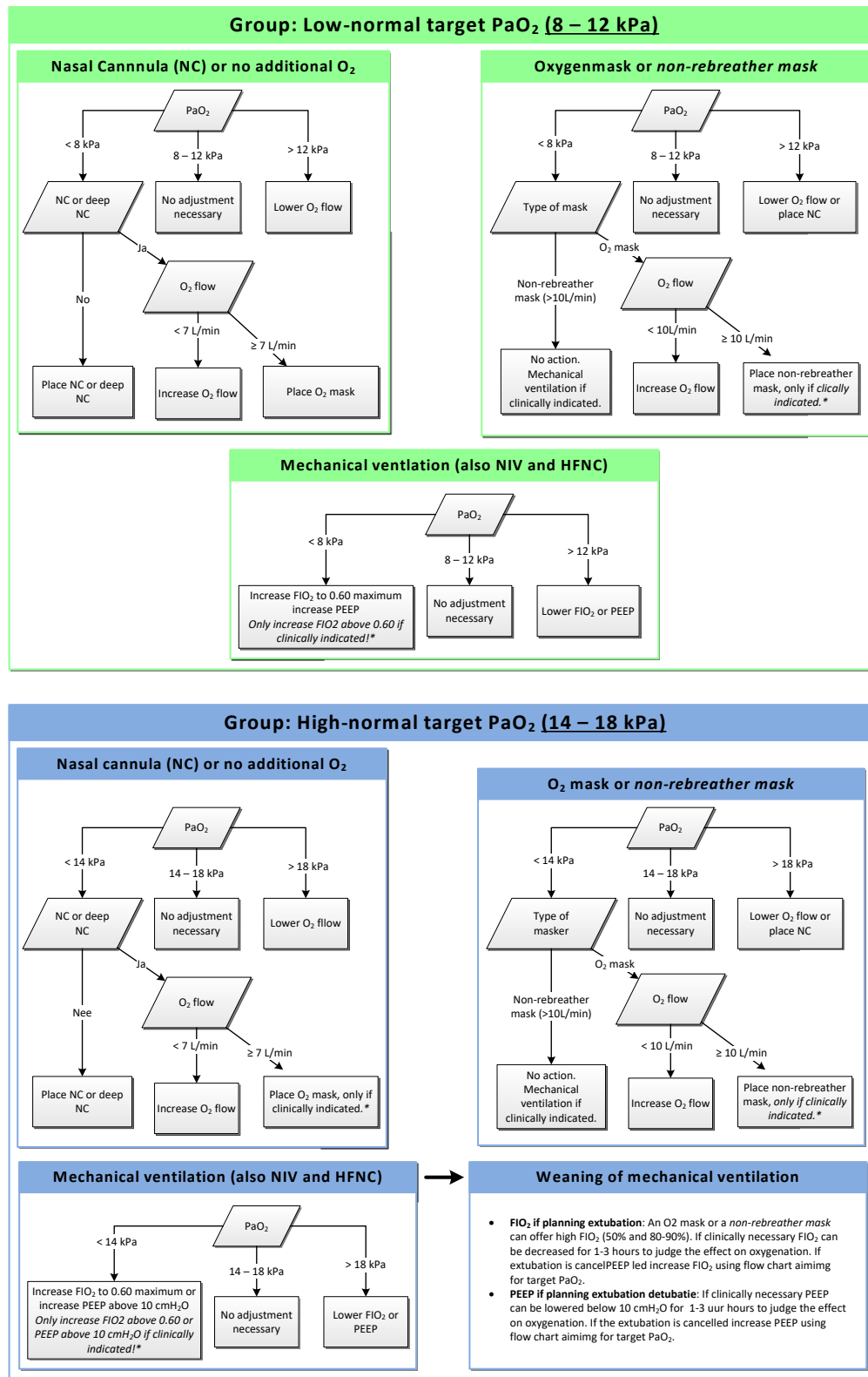
Number of obs: 400, groups: site, 4; incl_rvo, 3; incl_agecat, 3; gender_male, 2

Fixed effects:

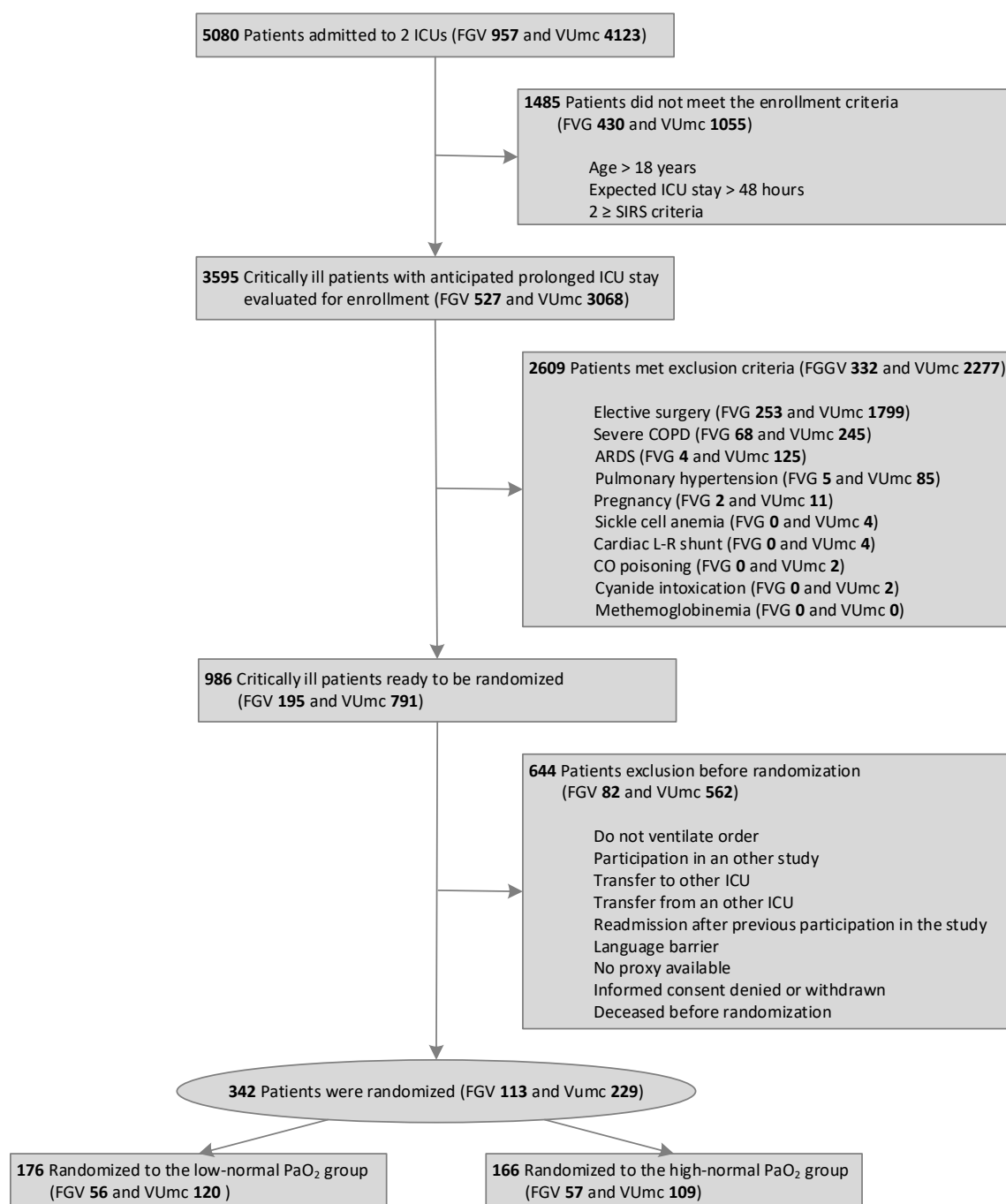
	Estimate	Std. Error	df	t value	Pr(> t)
(Intercept)	211.007	8.048	398.000	26.22	<2e-16 ***
groupHigh PaO ₂	-21.553	11.527	398.000	-1.87	0.0622 .

The estimates are in rank units. None of the stratification variables had an effect on SOFAR_{Rank} (the random effects variance estimates were all 0), leaving the effect of group on SOFAR_{Rank} unchanged compared to the unadjusted analysis.

eFigure 1. Flowcharts of Oxygen Administration

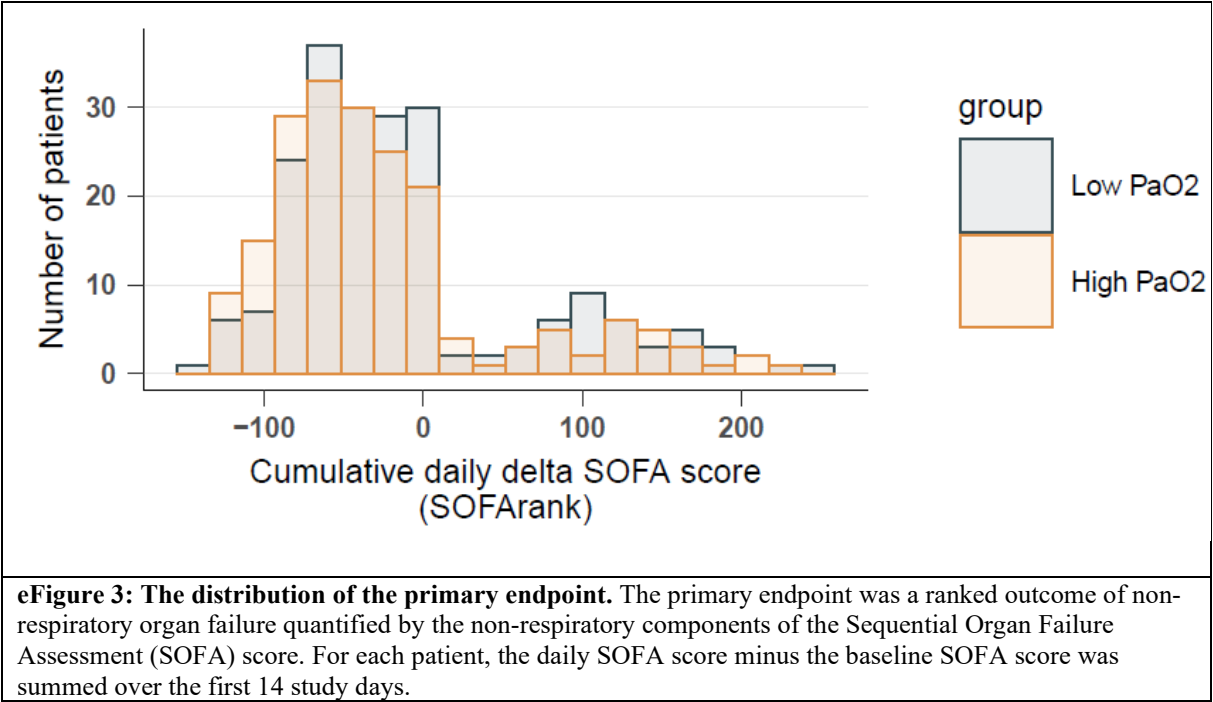


eFigure 2. Detailed Screening Flow Chart Franciscus Gasthuis & Vlietland and Amsterdam UMC-location VUmc



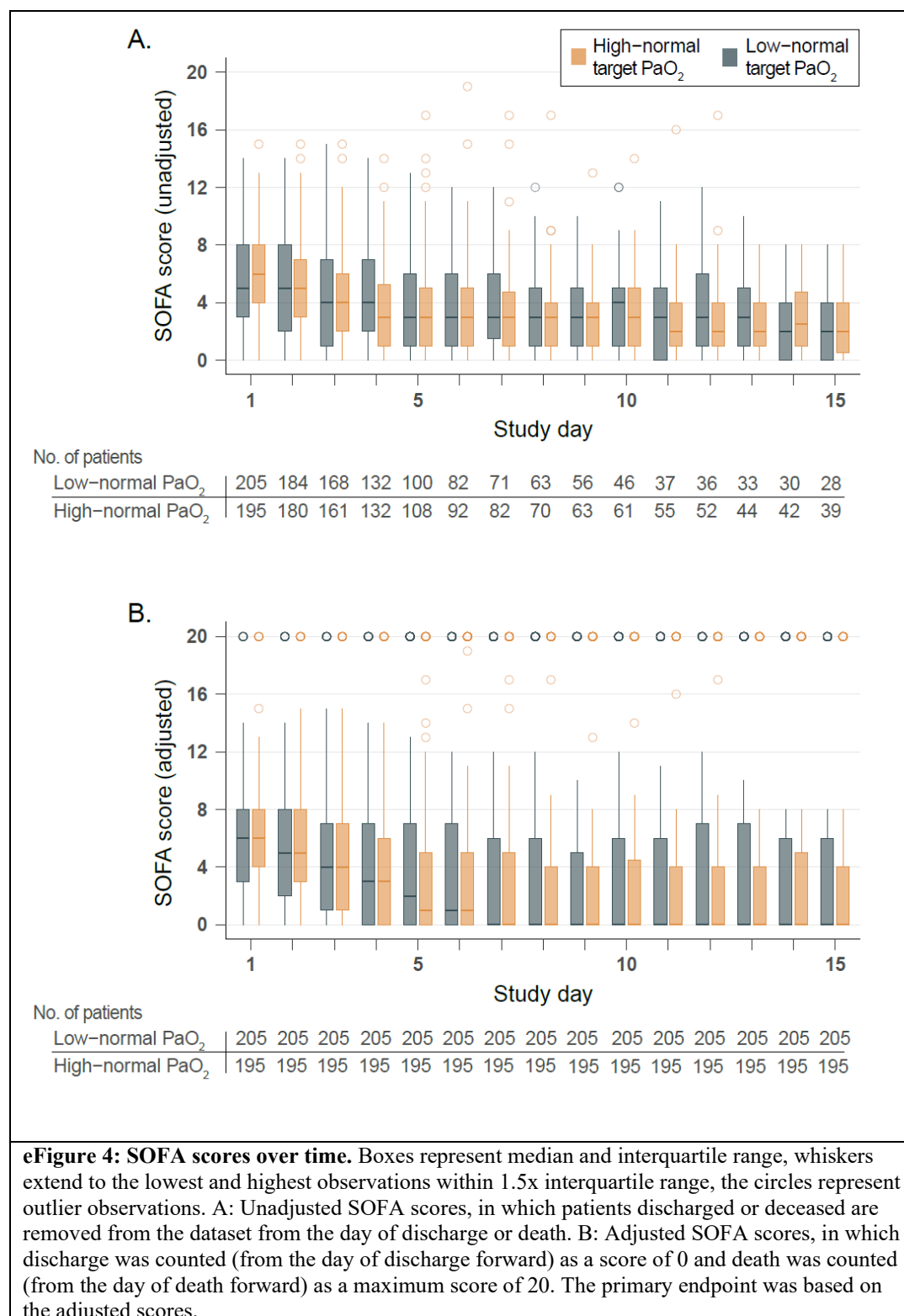
eFigure 2: Inclusion flow chart of two hospitals Franciscus Gasthuis & Vlietland (first data) and Amsterdam UMC – location VUmc (second data) of which elaborate inclusion data were available.

eFigure 3. The Distribution of the Primary Endpoint

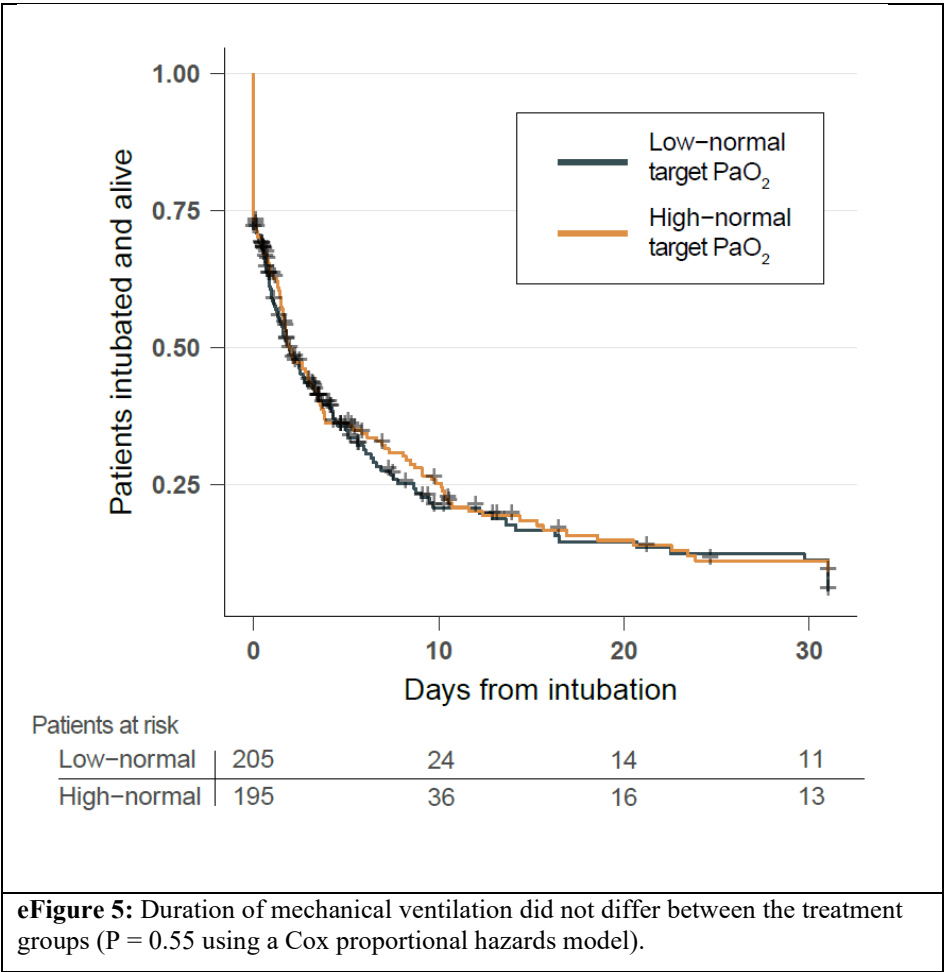


eFigure 3: The distribution of the primary endpoint. The primary endpoint was a ranked outcome of non-respiratory organ failure quantified by the non-respiratory components of the Sequential Organ Failure Assessment (SOFA) score. For each patient, the daily SOFA score minus the baseline SOFA score was summed over the first 14 study days.

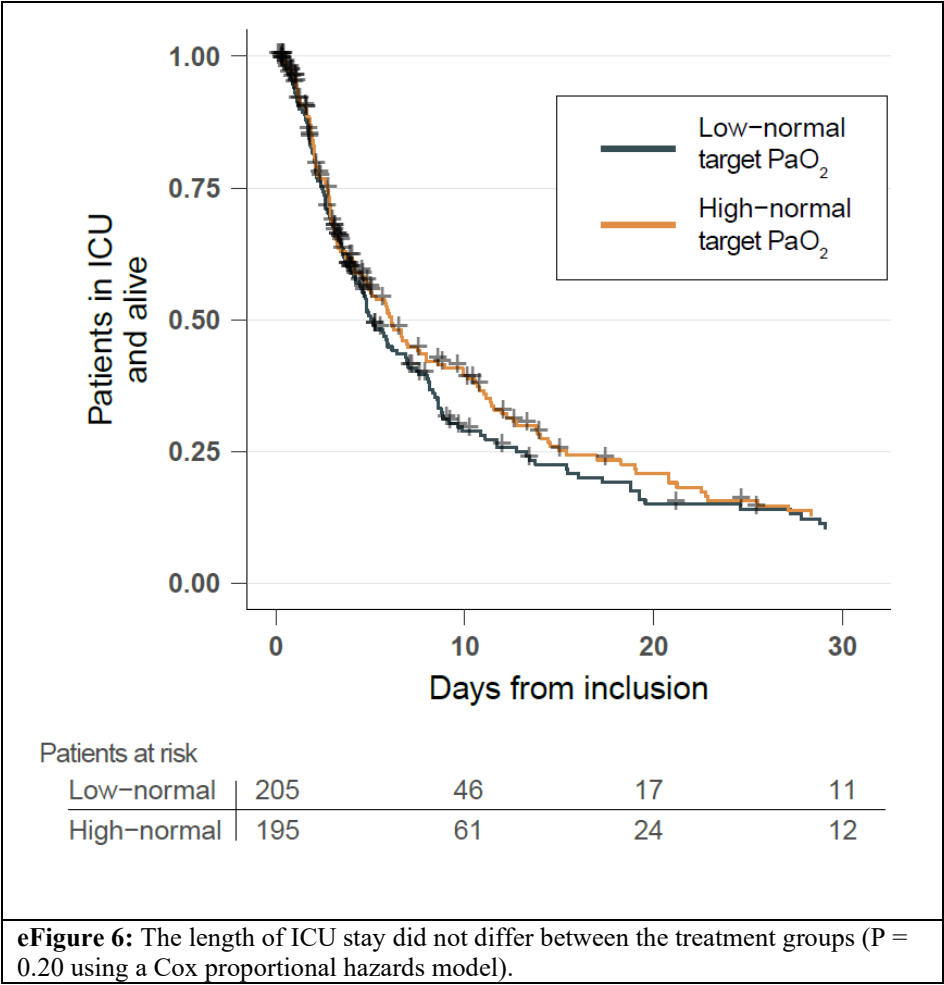
eFigure 4. SOFA Scores Over Time



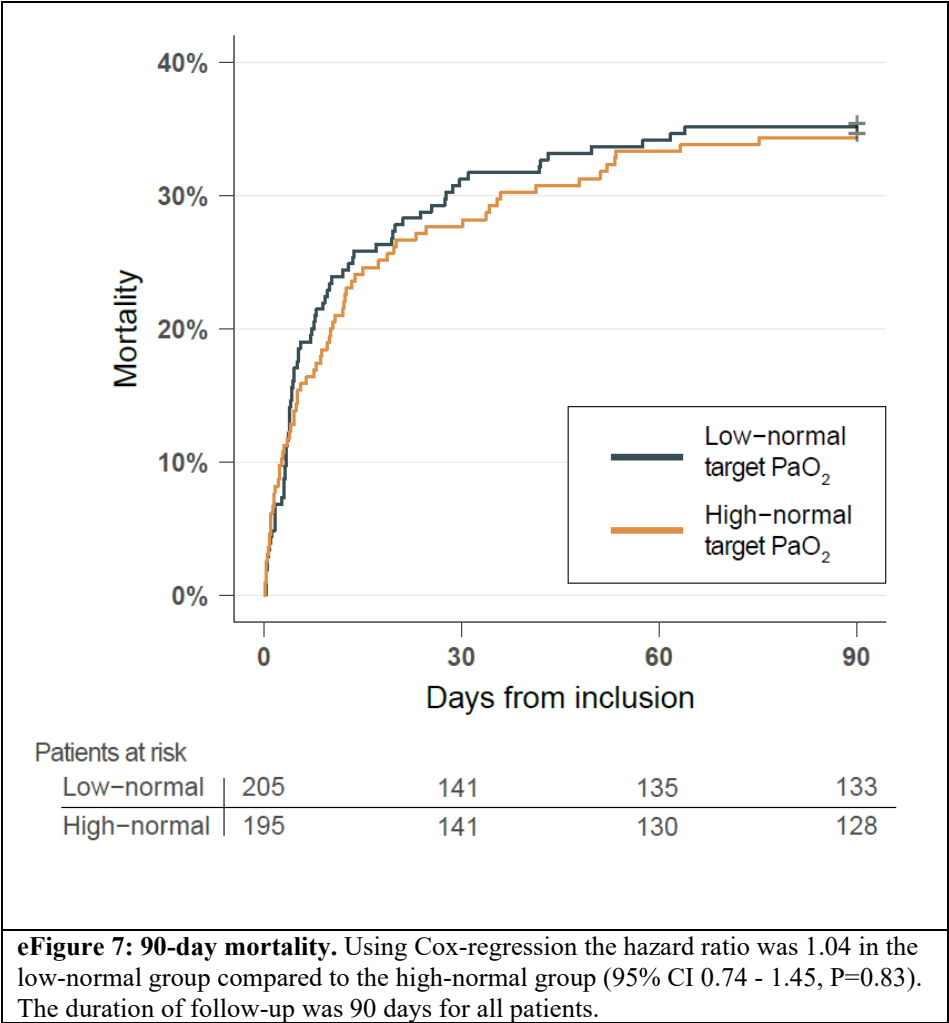
eFigure 5. Duration of Mechanical Ventilation



eFigure 6. Length of ICU Stay



eFigure 7. 90-Day Mortality



eTable 1: Oxygenation (Secondary Endpoint)				
Group	Low-normal PaO₂ N = 205	High-normal PaO₂ N=195	Difference (95% CI)	P
Oxygenation indices				
PaO ₂ (kPa) Median (IQR)	10.8 (9.77 - 12.0)	12.8 (10.9 - 14.9)	-1.93 (-2.12 to -1.74)	<0.001
SaO ₂ (%) Median (IQR)	96.0 (95.0 - 97.1)	97.4 (96.0 - 98.2)	-1.11 (-1.24 to -1.00)	<0.001
SpO ₂ (%) Median (IQR)	95.8 (94.6 - 97.0)	97.2 (95.6 - 98.5)	-1.01 (-1.22 to -0.93)	<0.001
FIO ₂ Median (IQR)	0.40 (0.31 - 0.50)	0.51 (0.40 - 0.59)	-0.09 (-0.10 to -0.08)	<0.001
Hypoxemia				
Mild hypoxemic periods PaO ₂ 5 - 7.3 kPa (number)	132/6777 (1.9 %)	91/7436 (1.2 %)	0.73 (0.30 to 1.20) (%)	<0.001
Severe hypoxemic periods PaO ₂ < 5 kPa (number)	16/6777 (0.23 %)	12/7436 (0.16 %)	0.08 (-0.09 to 0.23) (%)	0.42
Hyperoxemia				
Hyperoxemic periods PaO ₂ > 13.3 kPa (number)	1056/6777 (15.6%)	3296/7436 (44.3%)	-28.7 (-30.2 to -27.3) (%)	<0.001
Hyperoxemic periods PaO ₂ > 16.7 kPa (number)	319/6777 (4.7%)	1216/7436 (16.4%)	-11.6 (-12.6 to -10.6) (%)	<0.001
Hyperoxemic periods PaO ₂ > 18 kPa (number)	197/6777 (2.9%)	697/7436 (9.4%)	-6.4 (-7.2 to -5.7) (%)	<0.001
PaO ₂ (arterial oxygen partial pressure), SaO ₂ (arterial oxyhemoglobin saturation in blood gas samples), SpO ₂ (pulse oximeter measured oxyhemoglobin saturation), FIO ₂ (inspired oxygen fraction). Oxygenation indices were based on a median of 5 (IQR 3-7) arterial blood gas measurements per patient per day, which were time-weighted and averaged per patient-day before aggregation by study group. Over the entire course of the ICU admission in 205 patients with low-normal target PaO ₂ 6777 blood gas samples were taken, in the 195 patients with high-normal target PaO ₂ 7436 blood gas samples were taken. This table shows the unadjusted numbers and percentages of samples with PaO ₂ values in the hypoxemic and hyperoxemic range. Conversion factor SI to conventional units : To convert PaO ₂ from kPa to mmHg, multiply values by 7.5.				

eTable 2: Number of Blood Gas Samples Taken per Patient per Day

Study day	Median number of samples	Lowest number of samples	Highest number of samples
1	4	0	14
2	6	0	16
3	5	0	15
4	5	0	13
5	5	0	17
6	5	0	17
7	5	0	12
8	5	0	11
9	5	0	13
10	5	0	11
11	4.5	0	11
12	5	0	12
13	4	0	11
14	4	0	10
15	4	0	10